

OCT 09 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Eberhard HILDT)
Peter HOFSCHEIDER) Atty. Docket No.: VOS-206
U.S. Patent No.: 7,018,826)
(formerly Serial No.: 09/890,752))
Issued: March 28, 2006)
For: PARTICLES FOR GENE THERAPY)


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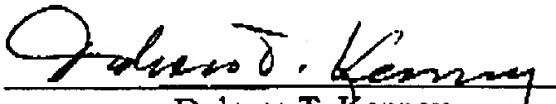
Respectfully submitted,

Date: October 9, 2007By: 
Dolores T. Kenney (Reg. No. 51,269)

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Patent Number	7,018,826 B1	RECEIVED CENTRAL FAX CENTER OCT 09 2007
Issue Date	March 28, 2001	
Application Number	09/890,752	
Filing Date	November 30, 2001 (371 Date)	
First Named Inventor	Eberhard HILDT	
Attorney Docket Number	VOS-206	

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- ☐ Patentee.
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Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☐ Attorney or agent of record. Registration Number _____

Signature

Typed or
Printed Name

Dr. Trutz E. Podschun

Date

September 27, 2007

Telephone +49 (0)89 200 65 806

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Post Issue, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: DIAFERON GmbHApplication No./Patent No.: 7,018,826 B1 Filed/Issue Date: March 28, 2006Entitled: PARTICLES FOR GENE THERAPYDIAFERON GmbH

(Name of Assignee)

a corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
 (The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Eberhard HILDT & Peter HOFSCHEIDER To: PEP-PER BIOTECH GmbH
 The document was recorded in the United States Patent and Trademark Office at
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 The document was recorded in the United States Patent and Trademark Office at
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3. From: ISLAND PHARMACEUTICALS LTD. To: DIAFERON GmbH
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



 Signature
Dr. Trutz E. Podschun

Printed or Typed Name

CEO

Title

September 27, 2007

Date

+49(0)89 200 65 806

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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